

# Multi-trait, multi-environment models in forestry

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# Motivating study

- Prediction of genetic values for clonally replicated eucalypt hybrids for selection for reforestation of saline affected lands
- Hybrids of *E. camaldulensis*, *E. globulus* and *E. grandis*
- In total, 841 genotypes tested over 21 sites
- Previous analysis of tree diameter at 3 years
- Other traits of interest

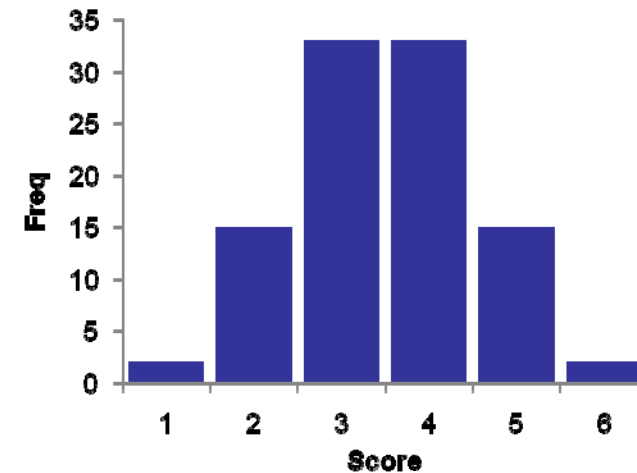
# Assessment of traits

Stem height (HT) – wood volume

Branch angle (BA) & size (BS) – knots affect wood strength and appearance

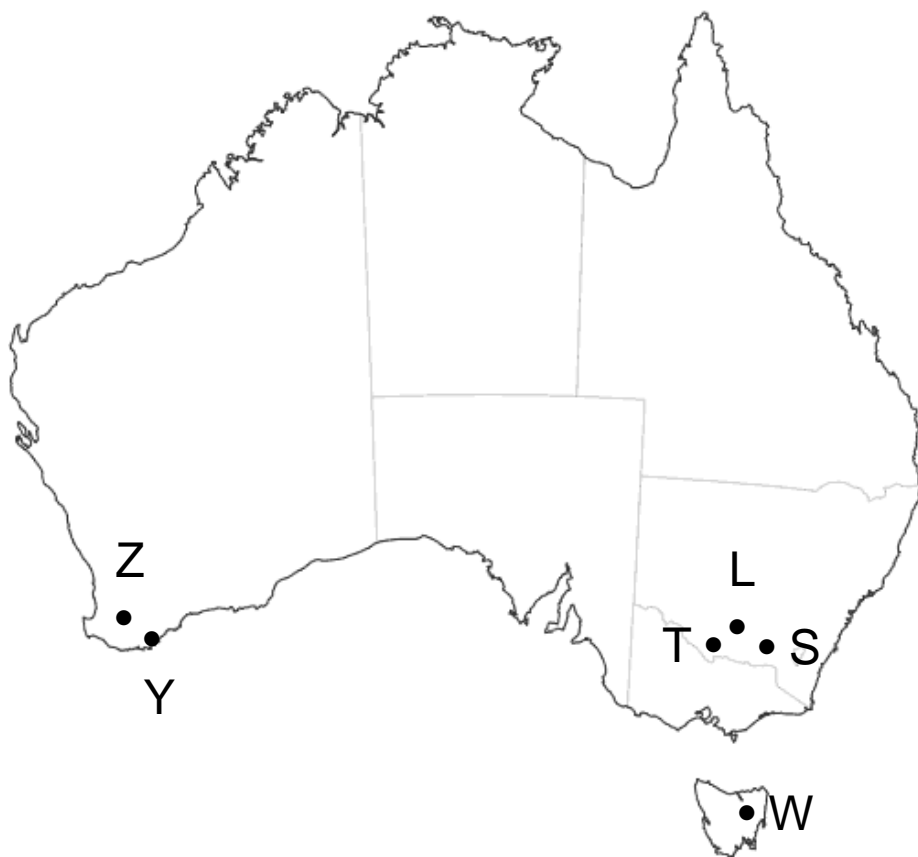
Stem straightness (SS) – can affect recovery and strength

6-point scale used for  
BA, BS and SS



# Experimental structure

## Location of trials



## Congruence of genotypes

|   | L  | S  | T   | W   | Y  | Z  |
|---|----|----|-----|-----|----|----|
| L | 50 | 5  | 24  | 6   | 4  | 4  |
| S |    | 79 | 50  | 12  | 5  | 5  |
| T |    |    | 601 | 48  | 38 | 38 |
| W |    |    |     | 105 | 7  | 7  |
| Y |    |    |     |     | 49 | 49 |
| Z |    |    |     |     |    | 49 |

# General model - overview

$$\mathbf{y} = \mathbf{X}\mathbf{b} + \mathbf{Z}_u\mathbf{u} + \mathbf{Z}_g\mathbf{g} + \boldsymbol{\varepsilon}$$

$\mu + \mathbf{E} + \text{lin}(\text{Row}) + \text{lin}(\text{Col})$

Block + Row + Col + spl(Row) + spl(Col)

$$\text{var}(\mathbf{y}) = \mathbf{V} = \mathbf{Z}_u\mathbf{G}_u\mathbf{Z}_u' + \mathbf{Z}_g\mathbf{G}_g\mathbf{Z}_g' + \mathbf{R}$$

$$\mathbf{G}_g = \mathbf{G}_{TE} \otimes \mathbf{I}_m$$

For  $E=j$

$$\mathbf{R}_j = \mathbf{R}_{T_j} \otimes \mathbf{C}_{j(\text{Row})}(\rho_{j(\text{Row})}) \otimes \mathbf{C}_{j(\text{Column})}(\rho_{j(\text{Column})})$$

# Prediction of clonal effects

$$\begin{bmatrix} \hat{\mathbf{b}} \\ \hat{\mathbf{u}} \\ \hat{\mathbf{g}} \end{bmatrix} = \begin{bmatrix} \mathbf{X}^T \mathbf{R}^{-1} \mathbf{y} \\ \mathbf{Z}_u^T \mathbf{R}^{-1} \mathbf{y} \\ \mathbf{Z}_g^T \mathbf{R}^{-1} \mathbf{y} \end{bmatrix} \begin{bmatrix} \mathbf{X}^T \mathbf{R}^{-1} \mathbf{X} & \mathbf{X}^T \mathbf{R}^{-1} \mathbf{Z}_u & \mathbf{X}^T \mathbf{R}^{-1} \mathbf{Z}_g \\ \mathbf{Z}_u^T \mathbf{R}^{-1} \mathbf{X} & \mathbf{Z}_u^T \mathbf{R}^{-1} \mathbf{Z}_u + \mathbf{G}_u^{-1} & \mathbf{Z}_u^T \mathbf{R}^{-1} \mathbf{Z}_g \\ \mathbf{Z}_g^T \mathbf{R}^{-1} \mathbf{X} & \mathbf{Z}_g^T \mathbf{R}^{-1} \mathbf{Z}_u & \mathbf{Z}_g^T \mathbf{R}^{-1} \mathbf{Z}_g + \mathbf{G}_g^{-1} \end{bmatrix}^{-1}$$

# Estimation of model parameters



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1. Single-trait, single-environment analyses
2. Single-trait, multi-environment analyses
3. Multi-trait, multi-environment single-stage analysis

Used ASReml 3-R

LRT to test significant difference of nested models

AIC to compare non-nested models

# Structure of multivariate $G$

**Large unstructured matrix not feasible**

**Simplifications to reduce number of parameters**

DIAG – heterogeneous variances, no correlation

CORUH – heterogeneous variances, homogeneous correlation

FA – factor analytic (Smith et al 2001)

# Single-Trait multi-Env results



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| Trait | $G_E$ | $r_E$       | $H^2$       |
|-------|-------|-------------|-------------|
| HT    | CORUH | 0.85        | 0.43 – 0.81 |
| BA    | CORUH | 0.54        | 0.06 – 0.50 |
| BS    | CORUH | 0.61        | 0.13 – 0.54 |
| SS    | FA1   | 0.20 – 1.00 | 0.17 – 0.32 |

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# Multi-Trait, multi-Env models

## Two way

$$\mathbf{G}_g = \mathbf{G}_{TE} \otimes \mathbf{I}_m$$

## Three way

$$\mathbf{G}_g = \mathbf{G}_T \otimes \mathbf{G}_E \otimes \mathbf{I}_m$$

# Results

| 2-way    | 3-way |       | nparam | LogL    | AIC   |
|----------|-------|-------|--------|---------|-------|
| $G_{TE}$ | $G_T$ | $G_E$ |        |         |       |
| FA3      |       |       | 93     | -8434.9 | 17208 |
| FA4      |       |       | 114    | -8406.7 | 17193 |
| FA5      |       |       | 134    | -8395.1 | 17210 |
|          | US    | CORUH | 17     | -8621.9 | 17430 |
|          | US    | FA1   | 22     | -8612.4 | 17421 |

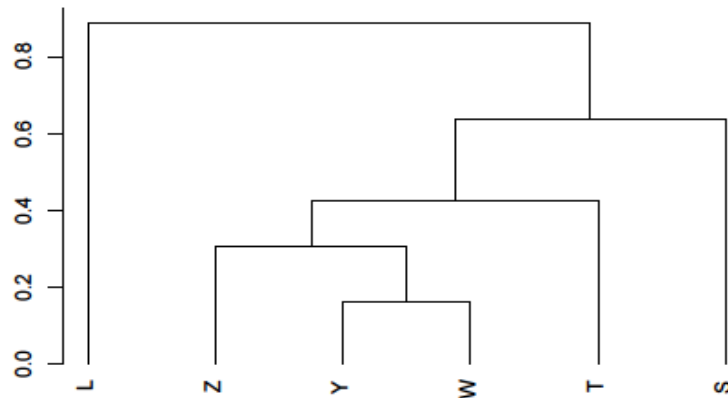
*cf. Smith et al 2007 Euphytica 175:253-266.*

# Within trait correlations

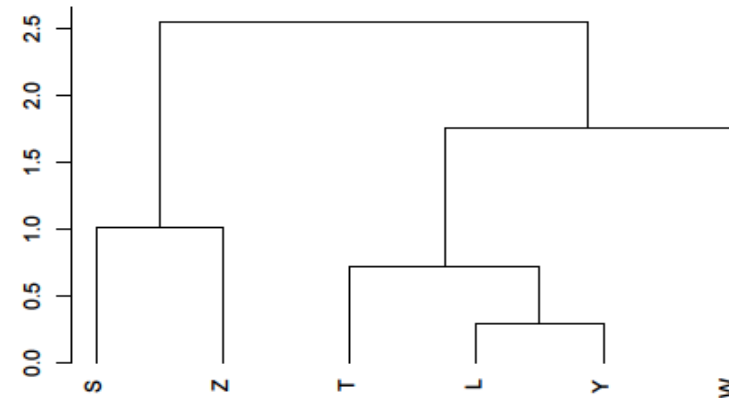
| Trait | $r_E$       | $H^2$        |             |              |             |
|-------|-------------|--------------|-------------|--------------|-------------|
|       |             | Single-trait | Multi-trait | Single-trait | Multi-trait |
| HT    | 0.85        | 0.53 – 0.90  | 0.43 – 0.81 | 0.36 – 0.79  |             |
| BA    | 0.54        | -0.05 – 0.71 | 0.06 – 0.50 | 0.05 – 0.51  |             |
| BS    | 0.61        | -0.23 – 0.77 | 0.13 – 0.54 | 0.12 – 0.49  |             |
| SS    | 0.20 – 1.00 | -0.72 – 0.94 | 0.17 – 0.32 | 0.18 – 0.32  |             |

# Clustering of trials

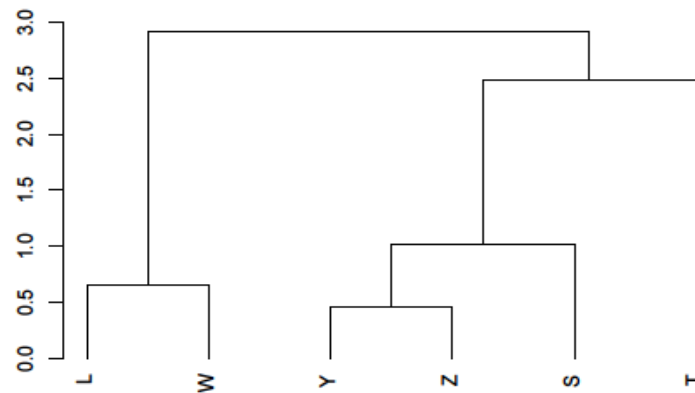
**HT**



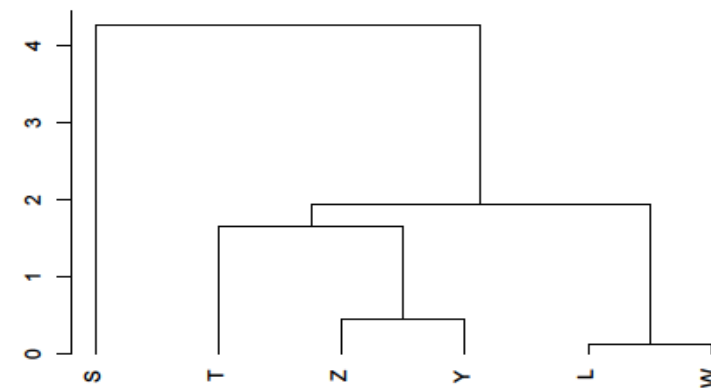
**BA**



**BS**



**SS**



# Among trait correlations

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|    | BA   | BS    | SS    |
|----|------|-------|-------|
| HT | 0.33 | -0.34 | 0.28  |
| BA |      | -0.33 | 0.10  |
| BS |      |       | -0.32 |

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# Summary for this study

1. Solutions possible with FA approaches
2. Multi-trait better than single-trait analysis
3. 2-way parameterisation of G better than 3-way